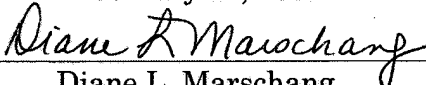


03C0 #4

Patent Docket P1110C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Avi J. Ashkenazi et al. Serial No.: 09/992,964 Filed: November 19, 2001 For: Apo-2DcR	Group Art Unit: not yet assigned Examiner: not yet assigned CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail, Mail No. EK568458022US, in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on February 22, 2002  Diane L. Marschang
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information (including that attached hereto and listed on the attached revised Form PTO-1449) of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement is filed in accordance with the provisions of:

[x] **37 CFR §1.97(b)**

- within three months of the filing date of the application other than a continued prosecution application under 37 CFR §1.53(d); **or**
- within three months of the date of entry of the national stage of a PCT application as set forth in 37 CFR §1.491, **or**
- before the mailing of the first Office action on the merits; **or**
- before the mailing of the first Office action after the filing of a request for a continued examination under 37 CFR §1.114.

The undersigned wishes to advise the Examiner of the following related US applications filed by Applicants which disclose and/or claim subject matter related to the instant application: Serial No. 08/878,168 filed June 18, 1997 and assigned to Examiner C. Kaufman; Serial No. 09/096,500 filed June 12, 1998 and assigned to Examiner C. Kaufman; and Serial No. 09/887,879 filed June 21, 2001 (Examiner not yet known). If the Examiner assigned to the instant application would like copies of any of these applications, the Examiner is requested to contact the undersigned attorney of record.

A list of patent(s) or publication(s) is set forth on the attached revised Form PTO-1449 (Modified). A copy of item numbers 47, 153 and 181 on PTO-1449 is supplied herewith. Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached PTO-1449 form are not supplied because they were previously cited by or submitted to the Office in prior application Serial No. 08/878,168, filed June 18, 1997 and relied upon in this application for an earlier filing date under 35 USC §120.

A concise explanation of relevance of the items listed on PTO-1449 is:

☒ not given

☐ given for each listed item

☐ given for only non-English language listed item(s) [Required]

☐ in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR §1.97(g), the filing of this information disclosure statement shall not be construed as a representation that a search has been made.

In accordance with 37 CFR §1.97(h), the filing of this information disclosure statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).

In the event that the Office determines a fee to be due where none is specifically authorized in this paper, the U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement under 37 CFR §1.17(p).

Respectfully submitted,

GENENTECH, INC.

Date: February 22, 2002

By:



Diane L. Marschang

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PATENT TRADEMARK OFFICE